

Explanatory Material for chemSHERPA

Rev. 4.2 August 1, 2025

Seiko Epson Corporation Global Environmental Strategy Promotion Office



Introduction — Purpose of this document

What is chemSHERPA?

Epson's basic guide for chemSHERPA

Flow for using chemSHERPA-AI to provide information

Enter the general information, the company information,

the composition information, the compliance assessment information and

Save and output authorized data

Flow for using chemSHERPA-CI to provide information

Enter the general information, the company information,

the composition information and Save and output authorized data

Guide to creating chemSHERPA data

Epson's survey on chemical substances in products

Revision History

This document explains the process used by the Epson Group ("Epson") to gather information on chemical substances in products using chemSHERPA.

For the rules on the use of chemSHERPA and the common usage of the tools, see the explanatory materials provided on the chemSHERPA-AI/CI Data Entry Support Tool.

This document uses abbreviation to refer to the following terms:

- Rules on the Use of Information on Chemicals in Products Under the chemSHERPA => Usage Rules
- chemSHERPA Data Entry Support Tool for Articles Operation manual => Al Operation manual
- chemSHERPA Data Entry Support Tool for Articles Data entry manual => Al Data entry manual
- chemSHERPA Data Entry Support Tool for Chemicals Operation manual => CI Operation manual
- chemSHERPA Data Entry Support Tool for Chemicals Data entry manual => CI Data entry manual

What is chemSHERPA?



A scheme that facilitates sharing information on chemical substances in products

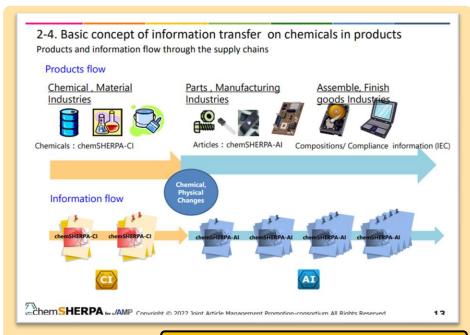
Information handling between supply-chain partners is essential for appropriate management of chemical substances in products (CiP), in order to continuously respond to expanding regulations. The chemSHERPA can be used as a common scheme for information handling across a supply chain.

Designed for steady & efficient information handling

Applicable to various products and industries. Throughout a supply chain, from up- to down-stream, this scheme allows organizations to handle the CiP information under a shared policy.

Toward a better information handling and chemical management in a supply chain

The chemSHERPA ensures "responsible information handling" that transfers composition information based on a common substance list, as well as compliance assessment information for articles, striving for a better CiP management in the future.



Source: Getting started guide of chemSHERPA

Source: chemSHERPA website

Epson's basic guide for chemSHERPA



- Epson uses the chemSHERPA-AI form for Articles and the chemSHERPA-CI form for Chemcals.
- ☐ Use the version of chemSHERPA-AICI Data entry support tool that is specified on Epson's Green Purchasing site (usually the latest version).
- ☐ Prepare an answer file based on a request file (".shai" or ".shci" file) that Epson provides.
- For chemSHERPA-AI form, be sure to transmit compliance assessment information, composition information* and the respective SCIP info.
- Data should be created in conformance with Usage Rules, manuals, and other documents issued by JAMP.
- □ chemSHERPA-AI/CI files should be entered in Japanese or English (single-byte characters).
- *Be sure to transmit the composition information so that we are able to promptly respond to rapidly changing laws and regulations and customer requirements on CiP.
- Please note that "transmitting composition information" means "entering data for chemSHERPA declarable substance." We are not asking for a full declaration using optional reporting. See page 10 and 23 for details.

Request when entering compliance assessment information and composition information data

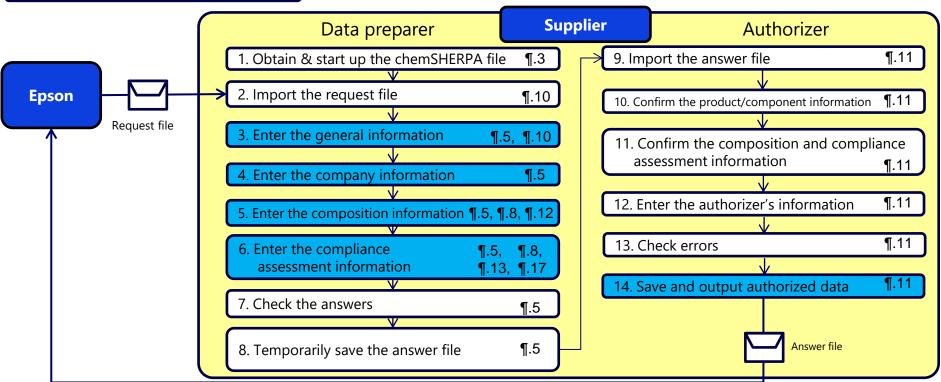
If the composition or content rate of CiP changes in manufacturing processes for production materials delivered to Epson, enter the data for the materials at the time of delivery.

Flow for using chemSHERPA to provide information (AI tool)



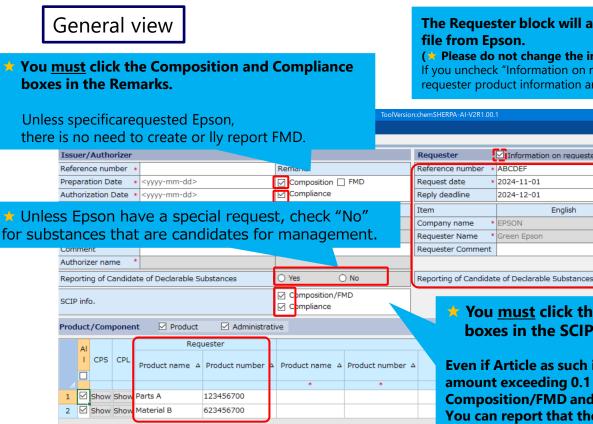
Items for which Epson has its own rules are explained on the following pages.

chemSHERPA common items are explained in the Operation manual or the Data entry manual.



^{* &}quot;¶**" indicates the item number in the Operations manual.

Al tool - Enter the general information



The Requester block will automatically appear when you import the request file from Epson.

Che

☑ Composition ☐ FMD

Local

No

._KPA-AI

Article Tool

(* Please do not change the information already filled in the request file from Epson.) If you uncheck "Information on requester On/Off" and the requester information and the requester product information are deleted, please open the request file again..

Remarks

Information on requester On/Off

English

* ABCDEF

* EPSON

* 2024-11-01

2024-12-01

Green Epson

★ You must click the Composition/FMD and Compliance boxes in the SCIP info.

O Yes

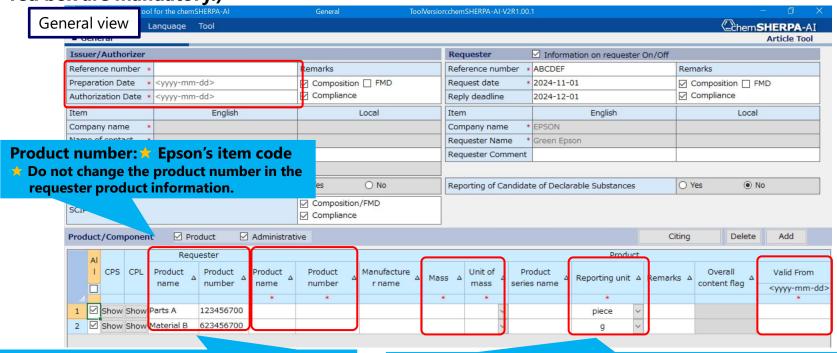
Even if Article as such in the product does not contain an SVHC in an amount exceeding 0.1 wt%, click to put check marks in these Composition/FMD and Compliance boxes.

You can report that the product does not contain an SVHC in an amount exceeding 0.1 wt% by confirming the composition information and compliance assessment information here.

Al tool - Enter the general information

EPSON

Enter the issuer's reference number, preparation date, and product information. (The fields marked by a red box are mandatory.)



Product name: The name of your company's product Product number: Your company's product number (If your company does not have a product number, use Epson's item code.)

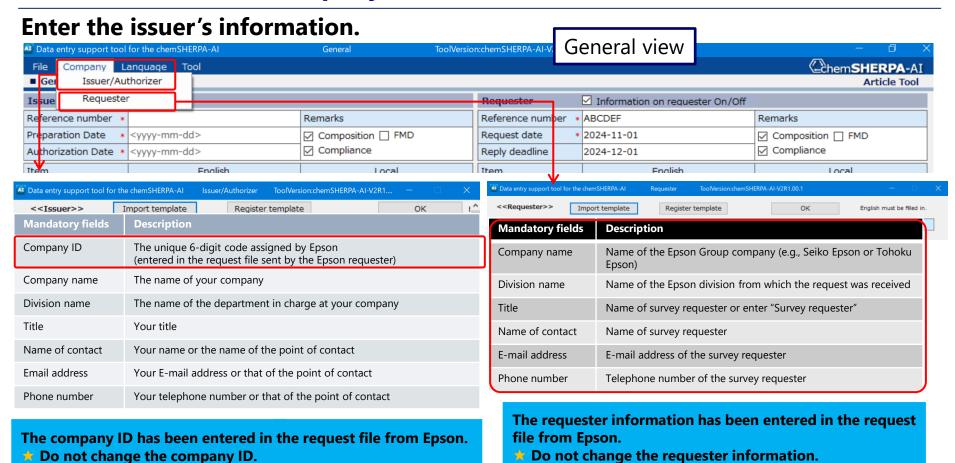
Reporting unit * The unit of quantity. Select "piece" if the part can be counted.

If the request file from Epson has already been filled out, please do not change it.

Al tool - Enter the company information

≠ Epson's own procedure

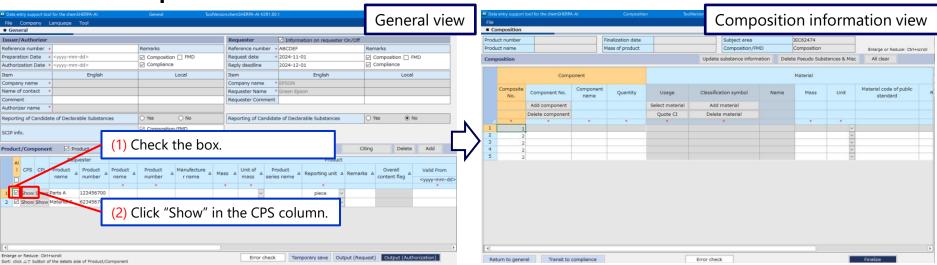
EPSON



Al tool - Enter the composition information

★Epson's own procedure EPSO

Enter the composition information data

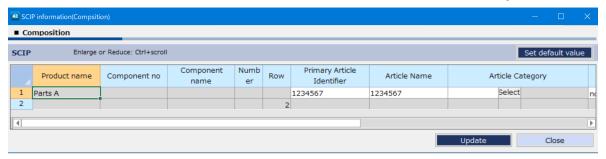


- (1) Check the box for the product for which you are providing answers.
- (2) Click "Show" in the CPS column for the target product. The composition information view will open.
- (3) Enter the information. See the Operation manual and the Data entry manual for instructions.
- The composition information that Epson asks you to enter is for chemSHERPA declarable substances (and for candidate of declarable substances * that Epson requires you to enter). You are not required to enter information for other substances, such as for a full declaration using optional reporting. And, You are not required to report FMD uniformly. Unless Epsom specifically request it due to reasons such as the product being used in automotive applications or a request from one of our customers, there is no need to report FMD.
- * Candidate of declarable substances: Chemical substances selected by JAMP as chemical substances for which it is recommended to investigate content information within the necessary scope of the supply chain before the chemical substances in the draft stage are added to the chemSHERPA relevant standards for chemical management that are related to laws and regulations.

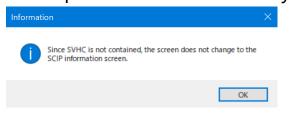
Al tool - Enter the composition information

Enter the SCIP info in the composition information

- (1) After entering the composition information, click the Finalize button. The SCIP information view will appear*.
- (2) Enter the information. See the Operation manual and the Data entry manual for instructions.



* Note that this view will not appear if there is no possibility that SCIP info will need to be registered. Instead, the following message indicating that no SVHCs are present will be displayed. Click the OK button to complete the SCIP info entry.



Al tool - Enter the compliance assessment information



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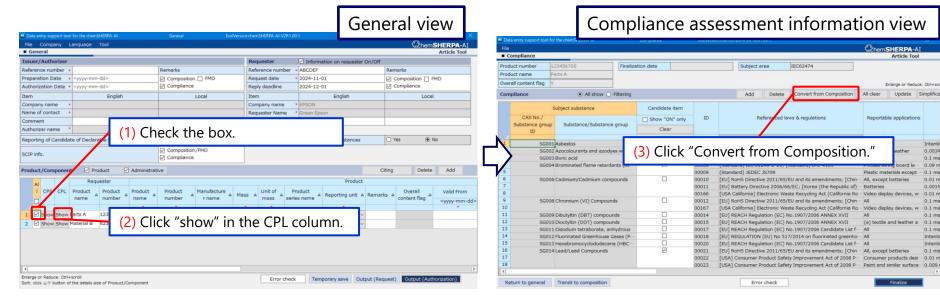
Reportable applications

(a) textile and leather a - 0.1 ma

IEC62474

ed laws & regulations

Enter the compliance assessment information data



- (1) Check the box for the product for which you are providing answers.
- (2) Click "Show" in the CPL column. The compliance assessment information view will open.

- (3) Click "Convert from Composition," and the composition information will be converted to the compliance assessment information. (You can also enter it directly without using the conversion function.)
- (4) Enter and revise the information. See the Operation manual and the Data entry manual for instructions.

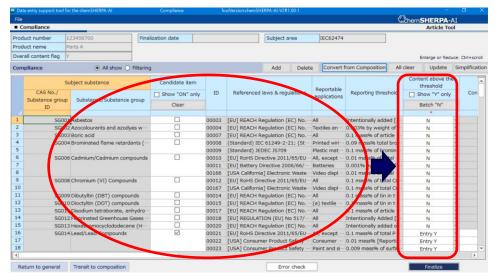
Al tool - Enter the compliance assessment information



■ Notes on entering compliance assessment information: Content above the threshold

Enter either "Y" or "N" in the "Content above the threshold" column as you check the Subject substance, Reportable applications, and Reporting threshold. Otherwise, correct "Entry Y" to "Y" or "N." Clicking "Batch N" enters an "N" either in all cells or all blank cells.

You can enter a "Y" only where needed and then click this button to enter an "N" in all remaining cells.



Criteria for choosing "Y" or "N" for "Content above the threshold"

* Confirm that "N" is chosen for substances corresponding to Level 1 banned substances (currently banned) under the Epson Group Green Purchasing Standard for Production Materials.

Amount of substance present	Purpose of substance	Content above the threshold
"Reporting threshold" or more	Qualifies under "Reportable applications"	Y
	Does not qualify under "Reportable applications"	N
	Unknown	Υ
Less than "Reporting threshold"	Qualifies under "Reportable applications"	N
	Does not qualify under "Reportable applications"	N
	Unknown	N

Al tool - Enter the compliance assessment inf

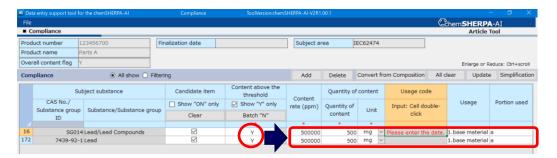
★ Epson's own procedure

EPSON

■ Notes on entering compliance assessment information: Content rate and other data

Enter the Content rate, Quantity of content, Usage, and Portion used in all rows where you entered "Y" for "Content above the threshold."

Enter the usage code if a message in the usage code column asks you to enter the data.



★ Enter the Usage and Portion used if you answered "Y" for "Content above the threshold."

Determining whether data must be entered

3	
ltem	Requirement
Content rate	Must be answered if you answered "Y" for "Content above the threshold"
Quantity of content	Same as above
Unit	Same as above
Usage code	Required if a message in the usage code cell asks you to enter the data.
Usage	★Must be answered if you answered "Y" for "Content above the threshold"
Portion used	★Must be answered if you answered "Y" for "Content above the threshold"

^{*} Enter the fields in Japanese or English (in single-byte characters).

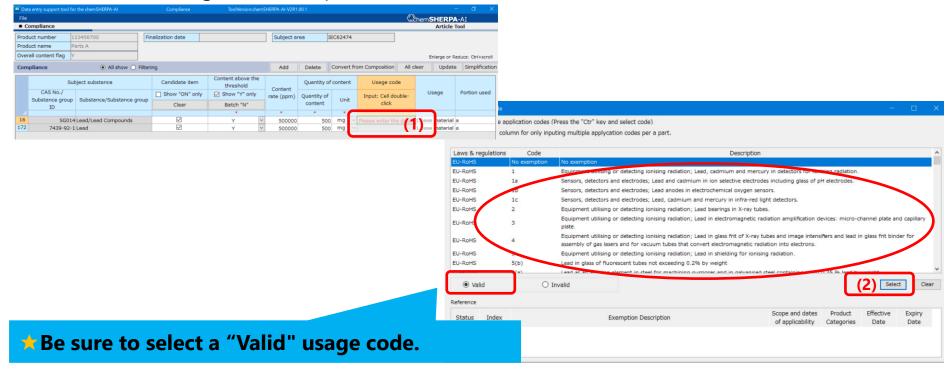
Al tool - Enter the compliance assessment inf



EPSON

■ Notes on entering compliance assessment information: Usage code

- (1) Double-click a usage code cell to open the "Select usage code" view.
- (2) Click the Usage code and press "Select."

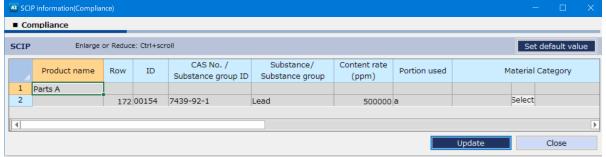


Al tool - Enter the compliance assessment information

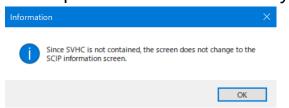


Enter SCIP info in the compliance assessment information

- (1) After entering the compliance assessment information, click the Finalize button. The SCIP info view will appear*.
- (2) Enter the information. See the Operations manual and the Data entry manual for instructions.



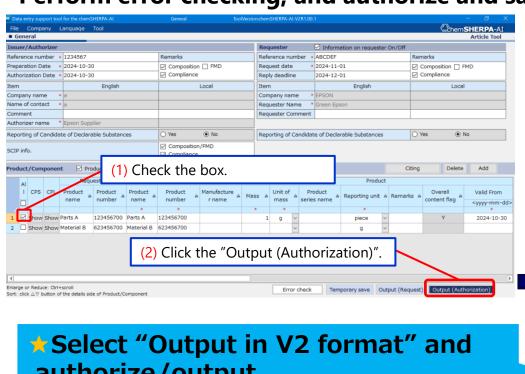
* Note that this view will not appear if there is no possibility that SCIP info will need to be registered. Instead, the following message indicating that no SVHCs are present will be displayed. Click the OK button to complete the SCIP info entry.



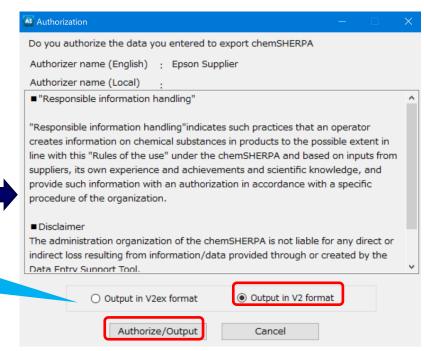
Al tool - Save and output authorized data

★Epson's own procedure

Perform error checking, and authorize and save the data of selected products.



authorize/output.

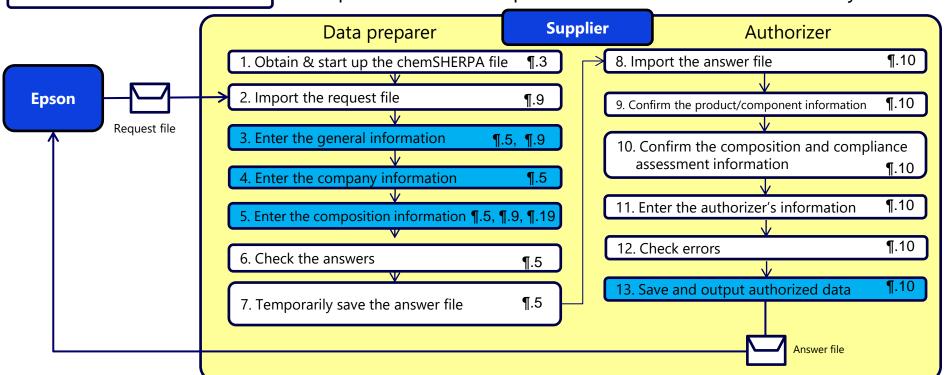


Flow for using chemSHERPA to provide information (CI tool)

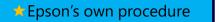
EPSON

Items for which Epson has its own rules are explained on the following pages.

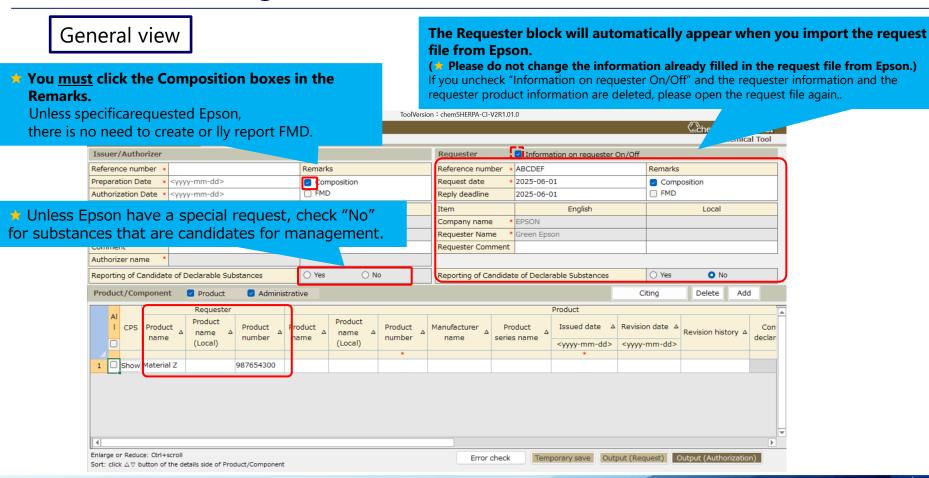
chemSHERPA common items are explained in the CI Operation manual or the CI Data entry manual.



CI tool - **Enter the general information**



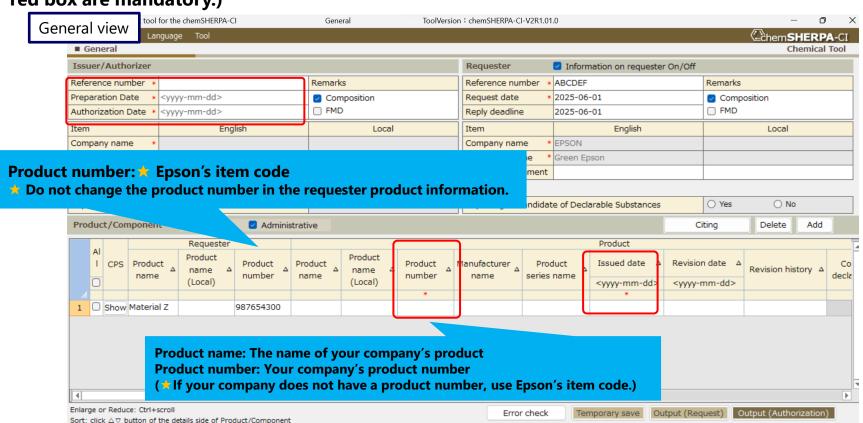
EPSON



CI tool - **Enter the general information**



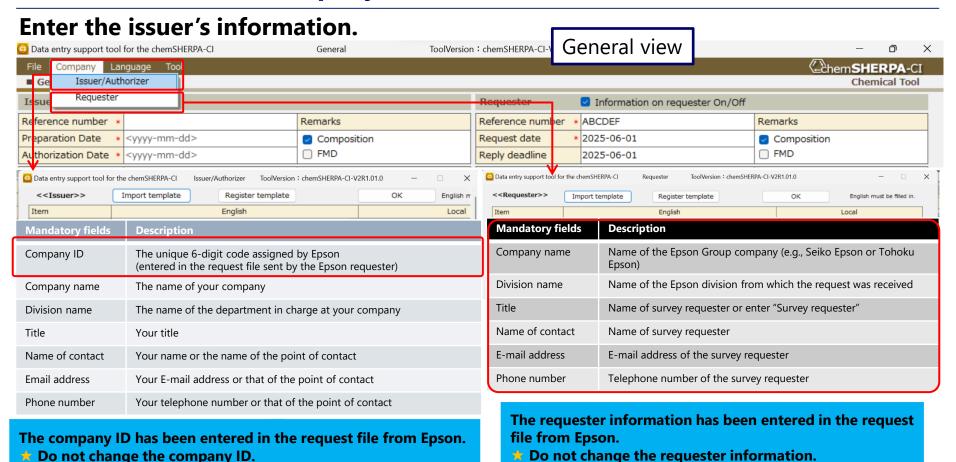
Enter the issuer's reference number, preparation date, and product information. (The fields marked by a red box are mandatory.)



CI tool - Enter the company information

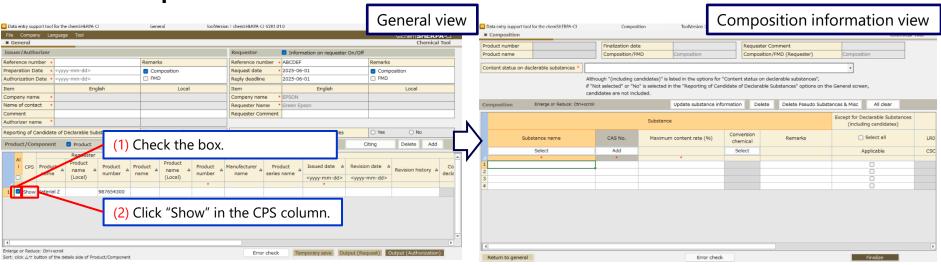
Epson's own procedure

EPSON



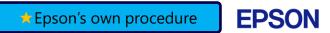
CI tool - Enter the composition information

Enter the composition information data



- (1) Check the box for the product for which you are providing answers.
- (2) Click "Show" in the CPS column for the target product. The composition information view will open.
- (3) Enter the information. See the CI Operation manual and the CI Data entry manual for instructions.
- The composition information that Epson asks you to enter is for chemSHERPA declarable substances (and for candidate of declarable substances * that Epson requires you to enter). You are not required to enter information for other substances, such as for a full declaration using optional reporting. And, You are not required to report FMD uniformly. Unless Epsom specifically request it due to reasons such as the product being used in automotive applications or a request from one of our customers, there is no need to report FMD.
- * Candidate of declarable substances: Chemical substances selected by JAMP as chemical substances for which it is recommended to investigate content information within the necessary scope of the supply chain before the chemical substances in the draft stage are added to the chemSHERPA relevant standards for chemical management that are related to laws and regulations.

CI tool - Save and output authorized data

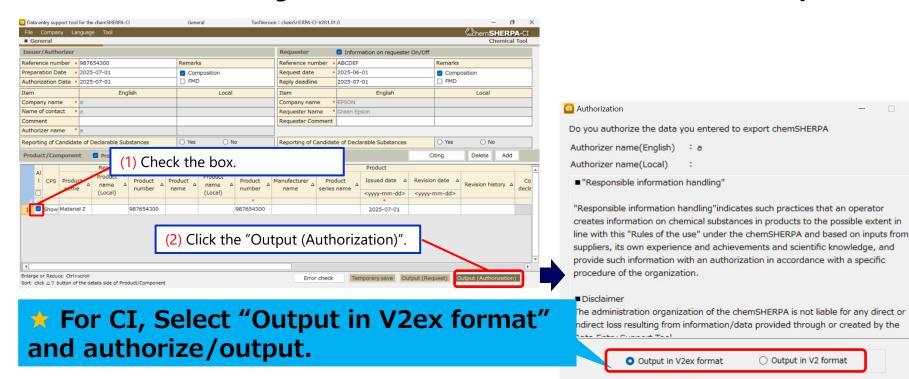


Export data in Excel format concurrently.

Authorize/Output

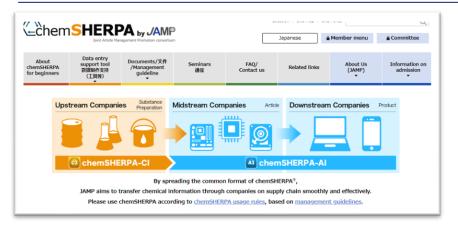
Cancel

Perform error checking, and authorize and save the data of selected products.



EPSON

Guide to creating chemSHERPA data



■ Explanatory materials, movies, and learning tools for chemSHERPA are available on the chemSHERPA website. Review the information on them to learn more about using chemSHERPA.

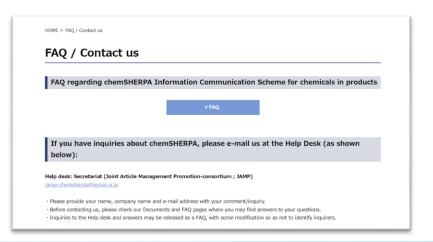
chemSHERPA website

https://chemsherpa.net/english

■ For detailed instructions on using the chemSHERPA-AI/CI Data entry support tool and on error handling, send your questions to chemSHERPA Help Desk.

chemSHERPA Help Desk

https://chemsherpa.net/english/help



Epson's survey on chemical substances in products

We may, if necessary, ask you to complete a new survey for production materials, using the latest version of chemSHERPA-AI/CI data entry support tool or the substances list, even if you have already completed an earlier survey. This will depend on the laws and regulations governing substances in products and the changes in the new version of chemSHERPA-AI/CI.

If we find that surveys using chemSHERPA-AI/CI cannot meet regulatory requirements or the requirements of Epson's customers, we may ask you to complete a survey other than chemSHERPA-AI/CI.

Thank you for your cooperation in Epson's survey on chemical substances in products.

Revision History



Rev.	Date	Page	Revised Content Before => After	Reason
1.0	August 29, 2018	All	Rev. 1.0 was issued	
2.0	March 27, 2019	7	 https://chemsherpa.net/chemSHERPA/tool/ https://chemsherpa.net/tool https://chemsherpa.net/chemSHERPA/english/tool/ https://chemsherpa.net/english/tool 	URL change
		34	 https://chemsherpa.net/chemSHERPA/english/ https://chemsherpa.net/English 	URL change
3.0	November 25, 2019	All	 All Ver. 1.05.00a chemSHERPA window shots were replaced with Ver. 2.00.00. Expressions and wording were revised and unified. 	Compatibility with Ver. 2 of chemSHERPA
		4	 "Basic guide for chemSHERPA data" > changed to "Epson's basic guide for chemSHERPA data" Added instructions about using Ver. 2 of the shai file format for answer files. Added the following note: (Al is also used for adhesives, drugs, and other chemical substances.) "Use the chemSHERPA-Al file specified by Epson" changed to "Use the version of chemSHERPA - Al that is specified on Epson's Green Purchasing site." 	Clarified where it is specified

Rev.	Date	Page	Revised Content Before => After	Reason	
3.0 N	November 25, 2019	7	Added "Please use the tool version that is specified on Epson's <u>Green Purchasing site</u> ."	Clarified where it is specified	
		9	Added a note about asking the Epson requester to send a file if requester information does not appear in the Requester fields.	Clarification	
			12	Added a note about not updating the reporting unit if it has already been entered in the request file	Clarification
		14	Company ID: Changed from "Your company code" to "The unique 6-digit code assigned by Epson (entered in the request file sent by the Epson requester)"	Clarification	
		18	Simplified the content, limited the description to entering content above the threshold.	Revised to facilitate understanding	
		19	Simplified the content, deleting information about entering content above the threshold.	Same as above	

Rev.	Date	Page	Revised Content Before => After	Reason
3.0 No	3.0 November 29, 2019	23	 Changed "Has the company code has been correctly entered in the Company ID field?" to "Confirm that the information provided in the mandatory fields (indicated by a red box) on the Issuer and Authorizer window is correct." Added "the unique 6-digit code assigned by Epson" to the explanation about the company ID. 	Same as above
		24	Added "Valid from" to the mandatory items indicated by red boxes.	Corrected errors
		28	"Click 'Compliance" changed to "Click "Show" under the Compliance (CPL) column"	Compatibility with Ver. 2 of chemSHERPA
		30	"Click Company, then Enter, and then Issuer/Authorizer" changed to "click Company > Issuer/Authorizer"	Same as above
		32	Added "(12) Click 'OK.'" Added "(13) After checking the Authorization window" (14) "Enter the filename" changed to "Change the filename as needed."	Added explanation to prevent misunderstandings
		34	Updated the chemSHERPA website window shot.	Website design changed



Rev.	Date	Page	Revised Content Before => After	Reason
3.1	January 15, 2021	All	- All Ver. 2.00.00 chemSHERPA window shots were replaced with Ver. 2.02.00.	Compatibility with Ver. 2.02 of chemSHERPA
		4	 Added and changed the contents below to Epson's basic guide. Addition: Data should be created in conformance to the documents issued by JAMP Addition: Be sure to check the "□Compliance" box at "SCIP information" in the general window Change: Composition information is optional => Composition information should be transmitted to the extent possible. Added: Request when creating compliance assessment information 	Clarified Basic policies Fulfilling EU compliance requirements Clarified Basic policies Communicated JAMP rules
	6	5	- Composition information is optional => Composition information should be transmitted to the extent possible.	Changed the Basic Policy
		6,8,10,13, 15,24,27, 29,32,34	- Added: Added ¶8 and ¶13.2 and ¶13.5 and ¶17 of the "chemSHERPA Data Entry Support Tool for Articles Operations Manual" to the column of "Enter the compliance assessment information"	Added the item numbers of operation manuals that are used for reference.
		9	- Added: Requester product information does not appear in the Requester fields.	Clarified the operation

Rev.	Date	Page	Revised Content Before => After	Reason
3.1 Janu	January 15, 2021	11	- Click the box for Information on requester On/Off Requester data entry enabled => the requester information and requester product information will be displayed	Action of survey requesters
			Added: "If the request file from Epson has already been filled out, please do not update it".	Clarified the operation
			Added: "Be sure to check the "SCIP info Compliance" box".	Fulfilling EU compliance requirements
		12	- Reference No.:will be automatically entered => Revised to:will be automatically displayed	Changed to appropriate wording
		14	will be automatically entered => Revised to:will be automatically displayed	Changed to appropriate wording
		16	- Added:First, check the registered composition ,then click "Convert from Composition". (for a non-composite part)	Communicated JAMP rules
		17	- Added a page on: Enter the compliance assessment information using the "Integretion" function (for a composite part with a multi-level BOM)	Communicated JAMP rules
		23	- Added a page on: Entering SCIP information	Fulfilling EU compliance requirements

Rev.	Date	Page	Revised Content Before => After	Reason
3.1	January 15, 2021	26	- Added an explanation that users must confirm that the required fields have the box, ☑, "compliance assessment information" at SCIP Information checked.	Fulfilling EU compliance requirements
		30,31	- Subdivided the composition of chemSHERPA window	To facilitate understanding
		30	- Changed contents so that the procedures can be more easily understood	To facilitate understanding
		31	- Added SCIP information to the check items at the time of approval	Fulfilling EU compliance requirements
		35	- Changed: Confirm the "Authorization date" date are entered => "Next, confirm that the "Preparation date" and "Valid from" fieldsenter the "Authorization date"	Adjusted procedures
		36	- Added: "For the file name of the chemSHERPA data that has been submitted to us, we recommend naming the file by using the data entry support tool".	Made sure to prevent duplications
		37	- Added: "Information obtained from the upstream source should be transmitted downstream in full, without"	Communicated JAMP rules

Rev.	Date	Page	Revised Content Before => After	Reason
3.1	January 15, 2021	36	- Added: "For the file name of the chemSHERPA data that has been submitted to us, we recommend naming the file by using the data entry support tool".	Made sure to prevent duplications
		37	- Added: "Information obtained from the upstream source should be transmitted downstream in full, without"	Communicated JAMP rules
4.0	March 31, 2023	All	- Revised overall in line with the mandatory entry of composition information	Changed the Basic Policy
4.1	November 8, 2024	7-16	- Modified the screen capture to the data entry support tool V2R1.	Changed in the tool
		7, 10	- Added the explanation of the candidate of declarable substances and FMD	Changed in the Rules on the Use and tool
		17	- Newly added: clarified that V2 format must be selected for authorize / output.	Changed in the tool
		19	-Modified: chemSHERPA-Al data entry support tool => chemSHERPA-Al data entry support tool or the substances list	Changed in the tool

Revision History



Rev.	Date	Page	Revised Content Before => After	Reason
4.2	August 1, 2025	5	Epson uses the chemSHERPA-AI form (The same form is used for adhesives, drugs, and other chemicals). => Epson uses the chemSHERPA-AI form for Articles and the chemSHERPA-CI form for Chemcals.	Changed the Basic Policy
		18-23	Added instructions on creating answers using the CI tool.	enanged the basic reney
		All	Added distinction between AI tool and CI tool due to adding instructions for CI tool.	

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